

## ON PROPER ACCRETIVE EXTENSIONS OF POSITIVE LINEAR RELATIONS

### ПРО ВЛАСНІ АКРЕТИВНІ РОЗШИРЕННЯ ДОДАТНИХ ЛІНІЙНИХ ВІДНОШЕНЬ

A linear relation  $\tilde{S}$  is called a proper extension of a symmetric linear relation  $S$  if  $S \subset \tilde{S} \subset S^*$ . As is well known, an arbitrary dissipative extension of a symmetric linear relation is proper.

In the paper criterions for accretive extension of a given positive symmetric linear relation to be proper are established.

Лінійне відношення  $\tilde{S}$  називається властивим розширенням симетричного лінійного відношення  $S$ , якщо  $S \subset \tilde{S} \subset S^*$ . Як відомо, довільне дисипативне розширення симетричного лінійного відношення є властивим.

Одержані критерії того, що акретивне розширення даного додатного відношення є властивим.

**1. Introduction.** Let  $H$  be a complex Hilbert space and let  $H^2 = H \oplus H$  be the set of all pairs  $\langle u, u' \rangle$ ,  $u, u' \in H$ , with the inner product

$$(\langle u, u' \rangle, \langle v, v' \rangle) = (u, v) + (u', v'), \quad \langle u, u' \rangle, \langle v, v' \rangle \in H^2.$$

As is well known [1], a closed subspace  $S \subseteq H^2$  is a linear relation (l.r.) or a multivalued linear operator. If  $T$  is a closed linear operator in  $H$ , then its graph  $\text{Gr}(T) = \{\langle u, Tu \rangle, u \in \mathcal{D}(T)\}$  is a l.r.

Basic concepts connected with l.r. can be found in [1]. In particular,  $\mathcal{D}(S) = \{u \in H : \langle u, u' \rangle \in S \text{ for some } u' \in H\}$  is the domain of  $S$ ,  $S(u) = \{u' \in H : \langle u, u' \rangle \in S\}$ , the subspace  $S^* = H^2 \ominus JS$ , where  $J\langle x, x' \rangle = \langle -x', x \rangle$  for all  $\langle x, x' \rangle \in H^2$ , is called the adjoint of  $S$ .

A l.r.  $S$  will be called

- symmetric if  $S \subset S^*$ ;
- selfadjoint if  $S = S^*$ ;
- positive if  $(S(u), u) \geq 0$  for all  $u \in \mathcal{D}(S)$ ;
- dissipative if  $\text{Im}(S(u), u) \geq 0$  for all  $u \in \mathcal{D}(S)$ ;
- accretive if  $\text{Re}(S(u), u) \geq 0$  for all  $u \in \mathcal{D}(S)$ ;
- $\alpha$ -sectorial if  $S$  is accretive and  $|\text{Im}(S(u), u)| \leq \text{tg } \alpha \text{Re}(S(u), u)$  for all  $u \in \mathcal{D}(S)$ , where  $\alpha \in [0, \pi/2)$ ;
- $m$ -accretive if both  $S$  and  $S^*$  are accretive;
- $m - \alpha$ -sectorial if  $S$  is  $m$ -accretive and  $\alpha$ -sectorial.

A l.r.  $\tilde{S}$  will be called a proper extension of a symmetric l.r.  $S$  if  $S \subset \tilde{S} \subset S^*$ .

It is well known [2] that an arbitrary dissipative extension of a symmetric l.r. is proper.

In this paper, criteria for an accretive or  $\alpha$ -sectorial extension of a positive l.r. to be proper are established.

Assume that  $S$  is a positive l.r., the sesquilinear form  $(S(u), v)$ ,  $u, v \in \mathcal{D}(S)$ , has the closure [1, 3] defined on a certain lineal  $\mathcal{D}[S] \supseteq \mathcal{D}(S)$ , its values are denoted by  $S[u, v]$ ,  $u, v \in \mathcal{D}[S]$ , and  $S[u] = S[u, u]$ .

Let  $S_F$  and  $S_N$  be the Friedrichs and von Neumann positive selfadjoint extensions of  $S$  [1]. For an arbitrary positive selfadjoint extension  $\tilde{S}$  of  $S$ , we have  $\mathcal{D}[S] =$

$= \mathfrak{D}[\mathbf{S}_F] \subseteq \mathfrak{D}[\tilde{\mathbf{S}}] \subseteq \mathfrak{D}[\mathbf{S}_N]$ ,  $\tilde{\mathbf{S}}[u] = \mathbf{S}_F[u] = \mathbf{S}[u]$  for all  $u \in \mathfrak{D}[\mathbf{S}]$ ,  $\mathbf{S}_N[u] \leq \tilde{\mathbf{S}}[u]$  for all  $u \in \mathfrak{D}[\tilde{\mathbf{S}}]$  [4].

Assume that  $\omega[u]$  is a positive functional on the linear  $\mathfrak{D}$  and  $\mathfrak{D}_0 \subset \mathfrak{D}$ . For  $u \in \mathfrak{D}$ , we set

$$\langle \omega[u] \rangle_{\mathfrak{D}_0} = \inf \{ \omega[u - u_0], u_0 \in \mathfrak{D}_0 \}.$$

If  $\Theta$  is an  $\alpha$ -sectorial l.r., then the quadratic forms

$$\operatorname{Re}(\Theta(u), u), \quad \operatorname{Re}[(1 \pm i \operatorname{ctg} \alpha)(\Theta(u), u)] = \operatorname{Re}(\Theta(u), u) \mp \operatorname{ctg} \alpha \operatorname{Im}(\Theta(u), u)$$

are positive on  $\mathfrak{D}(\Theta)$ .

We will prove the following theorems:

**Theorem 1.** Let  $\mathbf{S}$  be a positive l.r. and let  $\tilde{\mathbf{S}}$  be an accretive extension of  $\mathbf{S}$ . The following statements are equivalent: 1)  $\tilde{\mathbf{S}} \subset \mathbf{S}^*$ ; 2)  $\mathfrak{D}[\tilde{\mathbf{S}}] \subseteq \mathfrak{D}[\mathbf{S}_N]$  and  $\operatorname{Re}(\tilde{\mathbf{S}}(v), v) \geq \mathbf{S}_N[v]$  for all  $v \in \mathfrak{D}(\tilde{\mathbf{S}})$ ; 3)  $|(\mathbf{S}(u), v)|^2 \leq (\mathbf{S}(u), u) \operatorname{Re}(\tilde{\mathbf{S}}(v), v)$  for all  $u \in \mathfrak{D}(\mathbf{S})$ ,  $v \in \mathfrak{D}(\tilde{\mathbf{S}})$ .

**Theorem 2.** Let  $\mathbf{S}$  be a positive l.r. and let  $\Theta \subset \mathbf{S}^*$  be  $m$ -accretive. The following statements are equivalent: 1)  $\Theta \supset \mathbf{S}$ ; 2)  $\mathfrak{D}(\Theta) \subseteq \mathfrak{D}[\mathbf{S}_N]$  and  $\operatorname{Re}(\Theta(v), v) \geq \mathbf{S}_N[v]$  for all  $v \in \mathfrak{D}(\Theta)$ ; 3)  $|(\mathbf{S}(u), v)|^2 \leq (\mathbf{S}(u), u) \operatorname{Re}(\Theta(v), v)$  for all  $u \in \mathfrak{D}(\mathbf{S})$ ,  $v \in \mathfrak{D}(\Theta)$ .

**Theorem 3.** Suppose that  $\mathbf{S}$  is a positive l.r. and  $\Theta$  is an  $\alpha$ -sectorial extension of  $\mathbf{S}$ . The following statements are equivalent:

- 1)  $\Theta \subset \mathbf{S}^*$ ;
- 2)  $\langle \operatorname{Re}[(1 - i \operatorname{ctg} \alpha)(\Theta(v), v)] \rangle_{\mathfrak{D}(\mathbf{S})} + \langle \operatorname{Re}[(1 + i \operatorname{ctg} \alpha)(\Theta(v), v)] \rangle_{\mathfrak{D}(\mathbf{S})} = 2 \langle \operatorname{Re}(\Theta(v), v) \rangle_{\mathfrak{D}(\mathbf{S})}$  for all  $v \in \mathfrak{D}(\Theta)$ ;
- 3) the sesquilinear form

$$\omega[u, v] = (\Theta(u), v) - \mathbf{S}_N[u, v]$$

is  $\alpha$ -sectorial on  $\mathfrak{D}(\Theta)$ .

**2. Preliminaries.** A) Let  $\mathbf{S}$  be a l.r. and let

$$\mu(\mathbf{S}) = \{ \langle u + u', u - u' \rangle, \langle u, u' \rangle \in \mathbf{S} \}$$

be a fractional-linear transformation (f.-l.t.).

It possesses the properties  $\mu(\mu(\mathbf{S})) = \mathbf{S}$ ,  $\mu(\mathbf{S}^*) = (\mu(\mathbf{S}))^*$ ,  $\mu(\mathbf{S}_1) \subseteq \mu(\mathbf{S}_2)$  if  $\mathbf{S}_1 \subseteq \mathbf{S}_2$ .

One can easily check that  $\mathbf{S}$  is accretive (positive) if and only if  $\mu(\mathbf{S}) = \operatorname{Gr}(T)$ , where  $T$  is a contraction (Hermitian contraction) and  $\mathbf{S}$  is  $m$ -accretive (positive self-adjoint) if and only if  $T$  is defined on  $H$  (selfadjoint contraction).

B) Assume that  $A$  is an Hermitian contraction defined on the subspace  $\mathfrak{D}(A) \subset H$ . M. G. Krein in [5] described the set of all selfadjoint contractive (sc) extensions of  $A$  as the operator segment  $[A_\mu, A_M]$  where  $A_\mu$  and  $A_M$  are the so-called hard and soft sc-extensions of  $A$ , i.e., unique sc-extensions possessing the properties: for all  $f \in H$

$$\inf \{ ((I + A_\mu)(f - \varphi), f - \varphi), \varphi \in \mathfrak{D}(A) \} = 0, \quad (1)$$

$$\inf \{ ((I - A_M)(f - \varphi), f - \varphi), \varphi \in \mathfrak{D}(A) \} = 0. \quad (2)$$

A linear operator  $T$  defined on  $H$  is called a quasiselfadjoint contractive (qsc) extension of a Hermitian contraction  $A$  if

$$T \supset A, \quad T^* \supset A, \quad \|T\| \leq 1.$$

In [6, 7], it was obtained that the formula

$$T = (A_M + A_\mu)/2 + (A_M - A_\mu)^{1/2} X (A_M - A_\mu)^{1/2} / 2 \quad (3)$$

establishes a bijective correspondence between the set of all qsc-extensions of  $A$  and the set of all contractions  $X$  in the space  $\mathfrak{N}_0 = \overline{(A_M - A_\mu)H}$  and, if  $A_\mu = A_M$ , then  $A$  has a unique qsc-extension (the symbol  $B^{1/2}$  denotes the positive square root of the positive selfadjoint operator  $B$ ).

Let

$$\mathfrak{N} = H \ominus \mathfrak{D}(A), \quad H_0 = \overline{(I + A_M)H}, \quad \mathfrak{M} = \{\varphi \in H_0 : (I + A_M)^{1/2} \varphi \in \mathfrak{N}\}$$

and let  $(I + A_M)_\mathfrak{N}$  be the "shorted operator" [5, 8]. Then, for all  $f \in H$ ,

$$\begin{aligned} ((I + A_M)_\mathfrak{N} f, f) &= \inf \{((I + A_M)(f - \varphi), f - \varphi), \varphi \in \mathfrak{D}(A)\} = \\ &= ((I + A_M)^{1/2} P_\mathfrak{M} (I + A_M)^{1/2} f, f), \end{aligned}$$

where  $P_\mathfrak{M}$  is the orthogonal projection onto  $\mathfrak{M}$ . From (1),  $(I + A_M)_\mathfrak{N} = A_M - A_\mu$ .

Consequently,  $(A_M - A_\mu)^{1/2} = UP_\mathfrak{M} (I + A_M)^{1/2}$ , where  $U$  is the unitary operator from  $\mathfrak{M}$  onto  $\mathfrak{N}_0$ .

Hence, (3) implies the following descriptions of qsc-extensions:

$$T = A_M + (I + A_M)^{1/2} (Y - I) P_\mathfrak{M} (I + A_M)^{1/2} / 2, \quad (4)$$

where  $Y$  is an arbitrary contraction in  $\mathfrak{M}$ .

C) Let  $S$  be a positive l.r. Then  $\mu(S) = \text{Gr}(A)$ , where  $A$  is an Hermitian contraction,  $\mathfrak{D}(A) = (S + I)\mathfrak{D}(S)$ . In [5, 1], it was established that the following equalities hold:

$$\mu(S_F) = \text{Gr}(A_\mu), \quad \mu(S_N) = \text{Gr}(A_M).$$

Put  $A_M^0 = A_M |_{H_0}$ ,  $(I + A_M^0)^{-1/2}$  to be the inverse of  $(I + A_M)^{1/2}$  in  $H_0$ . Since  $S_N = \{\langle (I + A_M)f, (I - A_M)f \rangle, f \in H\}$ , we get, for  $v = (I + A_M)f$ ,

$$\begin{aligned} (S_N(v), v) &= \langle (I - A_M)f, (I + A_M)f \rangle = -\|(I + A_M)f\|^2 + 2\|(I + A_M)^{1/2} f\|^2 = \\ &= -\|v\|^2 + 2\|(I + A_M^0)^{-1/2} v\|^2. \end{aligned}$$

Therefore,  $\mathfrak{D}[S_N] = (I + A_M)^{1/2} H = (I + A_M^0)^{1/2} H_0$  and

$$S_N[v] = -\|v\|^2 + 2\|(I + A_M^0)^{-1/2} v\|^2 \quad (5)$$

for all  $v \in \mathfrak{D}[S_N]$ .

D) Assume that  $\tilde{S}$  is an accretive l.r. Then  $\mu(\tilde{S}) = \text{Gr}(\tilde{T})$ , where  $\tilde{T}$  is a contraction,  $\mathfrak{D}(\tilde{T}) = (\tilde{S} + I)\mathfrak{D}(\tilde{S})$ , and

$$\tilde{S} = \{\langle (I + \tilde{T})f, (I - \tilde{T})f \rangle, f \in \mathfrak{D}(\tilde{T})\}.$$

Hence, for  $v = (I + \tilde{T})f$ ,  $f \in \mathfrak{D}(\tilde{T})$ , we have

$$(\tilde{S}(v), v) = -\|v\|^2 + 2\langle f, (I + \tilde{T})f \rangle. \quad (6)$$

E) Let  $\Theta$  be a densely defined  $\alpha$ -sectorial operator.

In accordance with [3], the Friedrichs  $m - \alpha$ -sectorial extension of  $\Theta_F$  is the operator associated with the closure of the sesquilinear form  $(\Theta u, v)$ ,  $u, v \in \mathfrak{D}(\Theta)$ ,  $\mathfrak{D}[\Theta] = \mathfrak{D}[\Theta_F]$ .

If  $\Theta$  is an  $\alpha$ -sectorial l.r., then

$$\Theta = \text{Gr}(\Theta) \oplus \langle 0, \Theta(0) \rangle,$$

where  $\Theta$  is an  $\alpha$ -sectorial closed operator (the operator part of  $\Theta$ ). Put  $\mathfrak{H}_0 = \overline{\mathfrak{D}(\Theta)}$ ,  $\pi_0$  to be the orthogonal projection onto  $\mathfrak{H}_0$ ,  $\Theta_0 = \pi_0 \Theta$ . Let  $\Theta_{0F}$  be the Friedrichs extension of  $\Theta_0$  in  $\mathfrak{H}_0$ . Put

$$\Theta_F = \text{Gr}(\Theta_{0F}) \oplus \langle 0, \mathfrak{H}_0^\perp \rangle,$$

where  $\mathfrak{H}_0^\perp = H \Theta \mathfrak{H}_0$ .

Clearly,  $\Theta(0) \subseteq \mathfrak{H}_0^\perp$  and  $\Theta_F$  is an  $m - \alpha$ -sectorial extension of  $\Theta$ . We will call  $\Theta_F$  the Friedrichs extension of  $\Theta$ . It readily follows from the definition that

$$\mathfrak{D}[\Theta] = \mathfrak{D}[\Theta_F], \quad \Theta[u, v] = \Theta_F[u, v] = \Theta_{0F}[u, v], \quad u, v \in \mathfrak{D}[\Theta].$$

F) Let  $\tilde{S}$  be an  $m - \alpha$ -sectorial l.r. Then

$$\tilde{S} = \text{Gr}(\tilde{S}) \oplus \langle 0, \tilde{S}(0) \rangle,$$

where  $\tilde{S}$  is an  $m - \alpha$ -sectorial operator in the subspace  $\mathfrak{H} = \overline{\mathfrak{D}(\tilde{S})}$ .

In accordance with [3], the operator  $\tilde{S}$  has the representation

$$\tilde{S} = \tilde{S}_R^{1/2}(I + i\tilde{G})\tilde{S}_R^{1/2},$$

where  $\tilde{S}_R$  is the positive selfadjoint operator associated with the positive form  $b[u, v] = (\tilde{S}[u, v] + \overline{\tilde{S}[v, u]})/2$ ,  $G = G^*$ ,  $\|G\| \leq \text{tg} \alpha$  is an operator in the subspace  $\mathcal{R}(\tilde{S}_R^{1/2})$ , and

$$\mathfrak{D}[\tilde{S}] = \mathfrak{D}[\tilde{S}] = \mathfrak{D}(\tilde{S}_R^{1/2}).$$

G) Let  $S_N$  be the von Neumann extension of the positive l.r.  $S$ . Passing to the operator part  $S_N$  and using the relation established in [4], one can prove that, for all  $v \in \mathfrak{D}[S_N]$ ,

$$\sup \{ |(S(u), v)|^2 / (S(u), u), u \in \mathfrak{D}(S) \} = \|S_N^{1/2}v\|^2, \quad (8)$$

and  $\mathfrak{D}[S_N] = \mathfrak{D}[S_N^{1/2}]$  consists of all vectors  $v$ , for which the left-hand side of (8) is finite.

H) Let  $\tilde{S}$  be an  $m - \alpha$ -sectorial extension of the positive l.r.  $S$  and let  $\tilde{S}$  be the operator part of  $\tilde{S}$ . Using (7) for  $v \in \mathfrak{D}(\tilde{S}_R^{1/2})$ ,  $u \in \mathfrak{D}(S)$ , we get

$$(S(u), v) = (\tilde{S}(u), v) = (\tilde{S}_R^{1/2}(I + i\tilde{G})\tilde{S}_R^{1/2}u, v) = (\tilde{S}_R^{1/2}u, (I - i\tilde{G})\tilde{S}_R^{1/2}v).$$

Denote by  $\tilde{\pi}$  the orthogonal projection onto the subspace  $\overline{(\tilde{S}_R^{1/2})\mathfrak{D}(S)}$ . Taking into account the above relation, we obtain, for all  $v \in \mathfrak{D}[\tilde{S}]$ ,

$$\sup \{ |(S(u), v)|^2 / (S(u), u), u \in \mathfrak{D}(S) \} = \|\tilde{\pi}(I - i\tilde{G})\tilde{S}_R^{1/2}v\|^2. \quad (9)$$

Therefore,  $\mathfrak{D}[\tilde{S}] \subseteq \mathfrak{D}[S_N]$  and, from (8), (9),

$$\|S_N^{1/2}v\|^2 = \|\tilde{\pi}(I - i\tilde{G})\tilde{S}_R^{1/2}v\|^2 \quad \text{for all } v \in \mathfrak{D}[\tilde{S}]. \quad (10)$$

1) The following lemma will be used in the proof of the Theorem 3:

**Lemma.** Suppose that  $F$  is a selfadjoint contraction in  $H$ ,  $\mathfrak{K}$  is a subspace in  $H$ , and  $P_{\mathfrak{K}}$  is the orthogonal projection onto  $\mathfrak{K}$ . The following statements are equivalent:

- 1)  $\mathfrak{K}$  reduces  $F$ ;
- 2)  $(I - F)_{\mathfrak{K}} + (I + F)_{\mathfrak{K}} = 2P_{\mathfrak{K}}$ , where  $(I \pm F)_{\mathfrak{K}}$  are "shorted operators".

**Proof.** Put  $\mathfrak{K}^{\perp} = H \ominus \mathfrak{K}$ ,  $\mathfrak{H}_{\pm} = H \ominus (I \pm F)^{1/2}\mathfrak{K}^{\perp}$ ,  $P_{\pm}$  to be orthogonal projections onto  $\mathfrak{H}_{\pm}$ . By the definition [5, 8],  $((I \pm F)_{\mathfrak{K}}f, f) = \inf\{((I \pm F)(f - \varphi), f - \varphi), \varphi \in \mathfrak{K}^{\perp}\} = \|P_{\pm}(I \pm F)^{1/2}f\|^2$  for all  $f \in H_+$ .

1)  $\Rightarrow$  2). If  $F\mathfrak{K} \subseteq \mathfrak{K}$ , then  $F\mathfrak{K}^{\perp} \subseteq \mathfrak{K}^{\perp}$ . Therefore,

$$\begin{aligned} \|P_{\pm}(I \pm F)^{1/2}f\|^2 &= \|(I \pm F)^{1/2}P_{\mathfrak{K}}f\|^2, \\ ((I + F)_{\mathfrak{K}}f, f) + ((I - F)_{\mathfrak{K}}f, f) &= \\ &= \|(I + F)^{1/2}P_{\mathfrak{K}}f\|^2 + \|(I - F)^{1/2}P_{\mathfrak{K}}f\|^2 = 2\|P_{\mathfrak{K}}f\|^2, \quad f \in H. \end{aligned}$$

2)  $\Rightarrow$  1). For all  $f \in H$ , we have

$$\|P_+(I + F)^{1/2}f\|^2 + \|P_-(I - F)^{1/2}f\|^2 = 2\|P_{\mathfrak{K}}f\|^2. \quad (11)$$

Substituting  $f \in \mathfrak{K}$  in (11), we obtain

$$\begin{aligned} 2\|f\|^2 &= \|P_+(I + F)^{1/2}f\|^2 + \|P_-(I - F)^{1/2}f\|^2 \leq \\ &\leq \|(I + F)^{1/2}f\|^2 + \|(I - F)^{1/2}f\|^2 = 2\|f\|^2. \end{aligned}$$

Consequently,  $P_{\pm}(I \pm F)^{1/2}f = (I \pm F)^{1/2}f$  for all  $f \in \mathfrak{K}$ . Hence,  $F\mathfrak{K} \subseteq \mathfrak{K}$  Q.E.D.

**3. Proof of Theorem 1.** Suppose that  $A$  and  $\tilde{T}$  are f.l.t. of  $S$  and  $\tilde{S}$  respectively. Then  $\tilde{T}$  is a contractive extension of the Hermitian contraction  $A$ . Denote by  $\tilde{H}$  the domain of  $\tilde{T}$  and let  $\tilde{P}$  be the orthogonal projection onto  $\tilde{H}$ .

1)  $\Rightarrow$  2). For all  $f \in \tilde{H}$  and  $\varphi \in \mathfrak{D}(A)$ , we have the equality  $(\tilde{T}f, \varphi) = (f, A\varphi)$ . Therefore [9], there exists a contractive extension  $T$  of  $\tilde{T}$  on  $H$  such that  $T^* \supseteq A$ . This means that  $T$  is a qsc-extension of  $A$ . Put  $\Theta = \mu(\text{Gr}(T))$ . Then  $\Theta$  is an  $m$ -accretive proper extension of  $S$ ,  $\mathfrak{D}(\Theta) = (I + T)H$ ,  $\Theta \supseteq \tilde{S}$ . From (4),

$$I + T = (I + A_M)^{1/2}(I + 1/2(Y - I)P_{\mathfrak{M}})(I + A_M)^{1/2},$$

where  $Y$  is the contraction in  $\mathfrak{M}$ .

From (5) and (6), for  $v = (I + \tilde{T})f = (I + T)f$ ,  $f \in \tilde{H}$ , we get

$$\begin{aligned} \text{Re}(\tilde{S}(v), v) - S_N[v] &= 2\text{Re}((I + T)f, f) - 2\|(I + A_M^0)^{-1/2}(I + T)f\|^2 = \\ &= 2\text{Re}((I + 1/2(Y - I)P_{\mathfrak{M}})(I + A_M)^{1/2}(I + A_M)^{1/2}f) - \\ &\quad - 2\|(I + 1/2(Y - I)P_{\mathfrak{M}})(I + A_M)^{1/2}f\|^2 = \\ &= 1/2(\|P_{\mathfrak{M}}(I + A_M)^{1/2}f\|^2 - \|YP_{\mathfrak{M}}(I + A_M)^{1/2}f\|^2) > 0. \end{aligned}$$

2)  $\Rightarrow$  1). Relations (5) and (6) imply  $(I + \tilde{T})\tilde{H} \subseteq (I + A_M)^{1/2}H$ ,

$$\operatorname{Re}(f, (I + \tilde{T})f) \geq \|(I + A_M^0)^{-1/2}(I + \tilde{T})f\|^2, \quad f \in \tilde{H}. \quad (12)$$

Put  $Q = \tilde{P}\tilde{T}$ . Then  $Q$  is a contraction in  $\tilde{H}$ ,  $Q_R = (Q + Q^*)/2$  is a selfadjoint contraction in  $\tilde{H}$ .

Inequality (12) can be rewritten as

$$\|(I + Q_R)^{1/2}f\|^2 \geq \|(I + A_M^0)^{-1/2}(I + \tilde{T})f\|^2, \quad f \in \tilde{H}.$$

Hence,  $(I + A_M^0)^{-1/2}(I + \tilde{T})f = W(I + Q_R)^{1/2}f$ ,  $f \in \tilde{H}$ , where  $W$  is a contraction.

Furthermore, we have, for all  $f \in \tilde{H}$ ,

$$\begin{aligned} \|(I + Q_R)^{1/2}f\|^2 &= \operatorname{Re}(f, (I + \tilde{T})f) = \operatorname{Re}(f, (I + A_M^0)^{1/2}W(I + Q_R)^{1/2}f) \leq \\ &\leq \|(I + A_M)^{1/2}f\| \|(I + Q_R)^{1/2}f\|. \end{aligned}$$

This implies  $\|(I + Q_R)^{1/2}f\|^2 \leq \|(I + A_M)^{1/2}f\|^2$ ,  $f \in \tilde{H}$ , or  $Q_R \leq \tilde{P}A_M|_{\tilde{H}}$ . For  $\varphi \in \mathfrak{D}(A)$ ,  $(Q_R\varphi, \varphi) = \operatorname{Re}(\tilde{T}\varphi, \varphi) = (A\varphi, \varphi) = (\tilde{P}A_M\varphi, \varphi)$ . Hence,  $Q_R|_{\mathfrak{D}(A)} = \tilde{P}A$ . Consequently,  $Q^*|_{\mathfrak{D}(A)} = Q|_{\mathfrak{D}(A)} = \tilde{P}A$ , i.e.,  $Q$  is a qsc-extension in  $\tilde{H}$  of the Hermitian contraction  $\tilde{P}A$ . This yields  $\operatorname{Gr}(\tilde{T}) \subset (\operatorname{Gr}(A))^*$  and  $\tilde{S} \subset S^*$ .

2)  $\Leftrightarrow$  3) is an immediate consequence of (8). Q.E.D.

**4. Proof of Theorem 2.** 1)  $\Rightarrow$  2) is a consequence of Theorem 1.

2)  $\Rightarrow$  1). Let  $T$  be a f.-l.t. of  $\Theta$ . Then  $T$  is a contraction defined on  $H$  and  $T^* \supset A$ .

For  $T_R = (T + T^*)/2$ , using (5) and (6), we get

$$\|(I + T_R)^{1/2}f\|^2 \geq \|(I + A_M^0)^{-1/2}(I + T)f\|^2, \quad f \in H.$$

As before, this inequality implies  $T_R \leq A_M$  and, in view of  $T^* \supset A$ , we have  $T|_{\mathfrak{D}(A)} = A$ . Thus,  $\Theta \supset S$ .

2)  $\Leftrightarrow$  3) is a corollary of (8). Q.E.D.

**5. Proof of Theorem 3.** Consider the Friedrichs extension  $\tilde{S}$  of  $\Theta$ . Then  $\tilde{S}$  is  $m - \alpha$ -sectorial,  $\mathfrak{D}[\tilde{S}] = \mathfrak{D}[\Theta] \subseteq \mathfrak{D}[S_N]$ , and, for the operator part  $\tilde{S}$ ,

$$\tilde{S} = \tilde{S}_R^{1/2}(I + i\tilde{G})\tilde{S}_R^{1/2}, \quad \tilde{G} = \tilde{G}^*, \quad \|\tilde{G}\| \leq \operatorname{tg} \alpha.$$

Put  $\mathfrak{R} = \overline{\mathfrak{R}(\tilde{S}_R^{1/2})} \ominus \tilde{S}_R^{1/2} \mathfrak{D}(S)$ ,  $\tilde{\pi}$ ,  $P_{\mathfrak{R}}$  to be orthogonal projection onto  $\mathfrak{R}^\perp$  and  $\mathfrak{R}$  respectively.

A direct consequences of the definitions are the following relations for all  $v \in \mathfrak{D}(\Theta)$ :

$$\langle \operatorname{Re}[(1 \pm i \operatorname{ctg} \alpha)(\Theta(v), v)] \rangle_{\mathfrak{D}(S)} = ((I \mp \operatorname{ctg} \alpha \tilde{G})_{\mathfrak{R}} \tilde{S}_R^{1/2}v, \tilde{S}_R^{1/2}v), \quad (13)$$

$$\langle \operatorname{Re}(\Theta(v), v) \rangle_{\mathfrak{D}(S)} = \|P_{\mathfrak{R}} \tilde{S}_R^{1/2}v\|^2. \quad (14)$$

Besides, for  $u \in \mathfrak{D}(S)$ ,

$$\|\tilde{S}_R^{1/2}u\|^2 = \operatorname{Re}(\tilde{S}(u), u) = (\tilde{S}(u), u) = ((I + i\tilde{G}) \tilde{S}_R^{1/2}u, \tilde{S}_R^{1/2}u),$$

Hence,

$$\tilde{\pi} \tilde{G} \tilde{\pi} = 0. \quad (15)$$

1)  $\Rightarrow$  2). Since  $\Theta$  is accretive, from Theorem 1,

$$\operatorname{Re}(\Theta(v), v) \geq \|S_N^{1/2}v\|^2 \quad \text{for all } v \in \mathfrak{D}(\Theta). \quad (16)$$

Since  $\mathfrak{D}(\Theta)$  is the core of the sesquilinear form  $\Theta[u, v] = \tilde{S}[u, v]$ , (16) implies that

$$\operatorname{Re} \tilde{S}[v] \geq \|S_N^{1/2}v\|^2 \quad \text{for all } v \in \mathfrak{D}[\Theta].$$

It follows from relation (10) that

$$\|\tilde{S}_R^{1/2}v\|^2 \geq \|\tilde{\pi}(I - i\tilde{G})\tilde{S}_R^{1/2}v\|^2, \quad v \in \mathfrak{D}[\Theta].$$

Therefore, the bounded selfadjoint operator

$$L = I - (I + i\tilde{G})\tilde{\pi}(I - i\tilde{G})$$

acting in the subspace  $\overline{\mathfrak{R}(\tilde{S}_R^{1/2})}$  is positive. Using (15) for  $\varphi = \tilde{S}_R^{1/2}u$ ,  $u \in \mathfrak{D}(\mathfrak{S})$ , we have  $(L\varphi, \varphi) = \|\varphi\|^2 - \|\varphi\|^2 = 0$ . Consequently,  $L\tilde{\pi} = 0$ . This yields  $\tilde{G}\tilde{\pi} = 0$ . Thus,  $\tilde{G}\mathfrak{K} \subseteq \mathfrak{K}$ .

Now the lemma implies

$$(I - \operatorname{ctg} \alpha \tilde{G})_{\mathfrak{K}} + (I + \operatorname{ctg} \alpha \tilde{G})_{\mathfrak{K}} = 2P_{\mathfrak{K}}.$$

Hence, in view of (13) and (14), for  $v \in \mathfrak{D}(\Theta)$ ,

$$\begin{aligned} \langle \operatorname{Re}[(1 - i \operatorname{ctg} \alpha)(\Theta(v), v)] \rangle_{\mathfrak{D}(\mathfrak{S})} + \langle \operatorname{Re}[(1 + i \operatorname{ctg} \alpha)(\Theta(v), v)] \rangle_{\mathfrak{D}(\mathfrak{S})} = \\ = 2 \langle \operatorname{Re}(\Theta(v), v) \rangle_{\mathfrak{D}(\mathfrak{S})}. \end{aligned} \quad (17)$$

2)  $\Rightarrow$  1). Let (17) be true for all  $v \in \mathfrak{D}(\Theta)$ . Since  $\mathfrak{D}(\Theta)$  is the core of  $\tilde{S}_R^{1/2}$ , we have from (13), (14), and the lemma that  $\tilde{G}\mathfrak{K} \subseteq \mathfrak{K}$ .

Taking (15) into account, we get  $\tilde{G}\tilde{\pi} = \tilde{\pi}\tilde{G} = 0$ . Hence, from (10), for  $v \in \mathfrak{D}(\Theta)$ ,

$$S_N[v] = \|S_N^{1/2}v\|^2 = \|\tilde{\pi}(I - i\tilde{G})\tilde{S}_R^{1/2}v\|^2 = \|\tilde{\pi}\tilde{S}_R^{1/2}v\|^2 \leq \operatorname{Re}(\Theta(v), v).$$

In accordance with Theorem 1,  $\Theta \subset S^*$ .

3)  $\Rightarrow$  1). If  $\omega$  is an  $\alpha$ -sectorial form, then

$$\operatorname{Re}(\Theta(v), v) \geq S_N[v] \quad \text{for all } v \in \mathfrak{D}(\Theta).$$

Furthermore, we apply Theorem 1.

1)  $\Rightarrow$  3). Since  $\Theta$  is a proper accretive extension of  $S$ , from Theorem 1,  $\mathfrak{D}(\Theta) \subseteq \mathfrak{D}[S_N]$ . For all  $u \in \mathfrak{D}[S_N]$  and  $u_0 \in \mathfrak{D}(S)$ , we have

$$S_N[u, u_0] = (u, S(u_0)).$$

Hence, it is easy to check that, for all  $u \in \mathfrak{D}(\Theta)$  and  $u_0 \in \mathfrak{D}(S)$ , we have

$$\omega[u - u_0] = \omega[u]. \quad (18)$$

An immediate consequence of (8) is the relation

$$\inf \{ S_N[u - u_0], u_0 \in \mathfrak{D}(S) \} = 0 \quad \text{for all } u \in \mathfrak{D}[S_N].$$

Therefore, for given  $\varepsilon > 0$  and  $u \in \mathfrak{D}(\Theta)$ , one can find  $u_0 \in \mathfrak{D}(S)$  such that  $S_N[u - u_0] < \varepsilon$ . Taking (18) into account, we obtain

$$\operatorname{Re} \omega[u] \pm \operatorname{ctg} \alpha \operatorname{Im} \omega[u] =$$