

# Reactions of laser-ablated aluminum atoms with nitrogen during condensation at 10 K. Infrared spectra and density functional calculations for $Al_xN_y$ molecular species

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Laser-ablated aluminum atoms react with dinitrogen on condensation at 10 K to form  $N_3$  radical and the subject molecules, which are identified by nitrogen isotopic substitution, further reactions on annealing, and comparison with isotopic frequencies computed by density functional theory. The major  $AlN_3$  product is identified from three fundamentals and a statistically mixed nitrogen isotopic octet pattern. The aluminum rich  $Al_2N$  and  $Al_3N$  species are major products on annealing to allow diffusion and further reaction of trapped species. This work provides the first experimental evidence for molecular  $Al_xN_y$  species that may be involved in ceramic film growth.

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## Introduction

Aluminum nitride is an important semiconductor and ceramic material [1], which has led to numerous investigations of the solid material [2] and its formation by chemical vapor deposition (CVD) from reactions of aluminum alkyls [3,4]. The only molecular aluminum nitride investigated,  $AlN$ , has been observed by emission [5] and investigated by post-Hartree Fock ab initio calculations [6,7]. In order to prepare  $Al_xN_y$  species of relevance to the CVD process, laser-ablated Al atoms have been reacted with ammonia [8]. Such compounds have been modeled by electronic structure calculations [9].

A recent investigation of laser-ablated Ga atoms reacting with nitrogen revealed a series of  $Ga_xN_y$  species that increase stepwise on annealing to allow diffusion and reaction to form  $Ga_3N$  and  $GaN_3$  in a manner that might approximate gallium nitride film

growth [10]. The stability of similar  $Al_3N$  and  $AlN_3$  molecules has been verified by recent electronic structure calculations [11]. However, investigations of the  $B/N_2$  reaction revealed NBNN as the most abundant product [12,13]. Here follows a combined matrix infrared spectroscopic and density functional theoretical study of novel  $Al_xN_y$  molecular species.

## Experimental and computational methods

The technique for laser ablation and infrared matrix investigation has been described previously [12,14,15]. The aluminum target (Aesar, 99.998%) was mounted on a rotating rod. The 1064 nm Nd : YAG laser beam (Spectra Physics, DCR-11) was focused on the metal target. Laser energies ranging from 20–60 mJ/pulse were used in the experiments. Ablated aluminum atoms were codepo-

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sited with pure nitrogen onto a 10 K CsI window at a rate of 2–4 mmol/h for 30 min to 2 h. Nitrogen (Matheson) and isotopic  $^{15}\text{N}_2$  (Isotec),  $^{14}\text{N}_2 + ^{15}\text{N}_2$ , and  $^{14}\text{N}_2 + ^{14}\text{N}^{15}\text{N} + ^{15}\text{N}_2$  (Isotec) mixtures were employed. Infrared spectra were recorded with  $0.5\text{ cm}^{-1}$  resolution and  $0.1\text{ cm}^{-1}$  accuracy on a Nicolet 750 instrument. Matrix samples were annealed to different temperatures, and selected samples were subjected to broadband photolysis by a medium pressure mercury arc lamp (Philips, 175W) with globe removed (240–580 nm).

Density functional theory (DFT) calculations were done for potential product molecules expected here using the Gaussian 94 program [16]. Most calculations employed the hybrid B3LYP functional but comparisons were done with the BP86 functional as well [17,18]. The 6-311+G\* basis set was used for both Al and N atoms [19], and the cc-pVDZ set was employed in several computations [20]. Geometries were fully optimized and frequencies were calculated from analytic second derivatives.

## Results

Infrared spectra and density functional calculations of aluminum-nitrogen reaction products will be presented in turn.

*Infrared spectra.* Matrix isolation infrared experiments were done on a 10 K salt window with laser-ablated aluminum and pure nitrogen. Representative infrared spectra are illustrated in Figs. 1, 2 and 3 for Al in pure nitrogen for three regions, and the product absorptions are listed in Table 1.

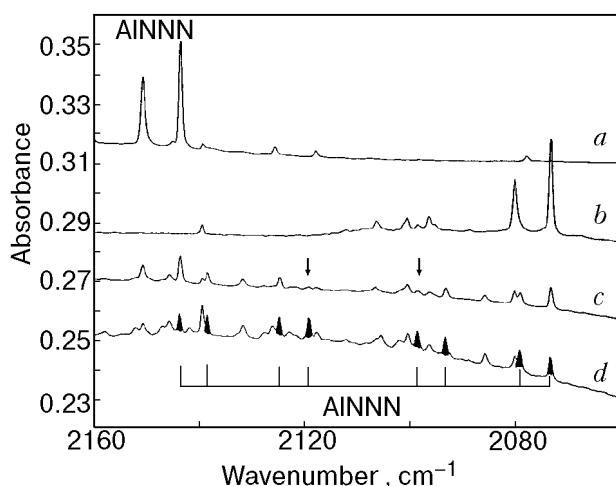


Fig. 1. Infrared spectra in the 2160–2060  $\text{cm}^{-1}$  region for pure nitrogen isotopic samples codeposited with laser-ablated Al atoms after annealing to 25 K. (a)  $^{14}\text{N}_2$ , (b)  $^{15}\text{N}_2$ , (c) 50%  $^{14}\text{N}_2$  + 50%  $^{15}\text{N}_2$ , and (d) 25%  $^{14}\text{N}_2$  + 50%  $^{14}\text{N}^{15}\text{N}$  + 25%  $^{15}\text{N}_2$  (major site octet indicated).

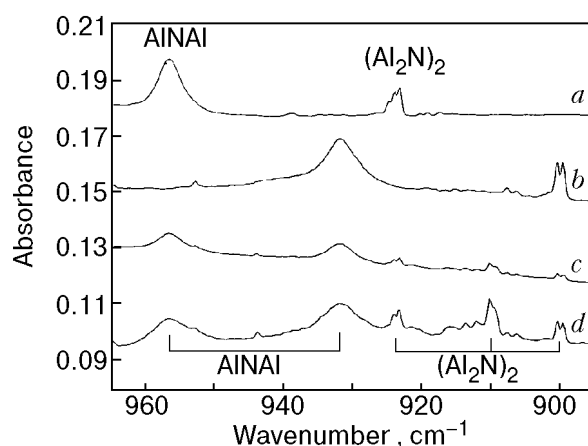


Fig. 2. Infrared spectra in the 965–895  $\text{cm}^{-1}$  region for same pure nitrogen isotopic samples as in Fig. 1.

The strong  $\text{N}_3$  radical [12,21] absorption at  $1657.7\text{ cm}^{-1}$  (not shown) is 13 times stronger than the  $\text{N}_3^-$  band at  $2003.3\text{ cm}^{-1}$ . As a measure of limited oxide contamination, the NO band at  $1874.7\text{ cm}^{-1}$  is very weak (absorbance  $A = 0.001$ , not shown) and trace  $\text{Al}_2\text{O}$  is detected at  $988.7\text{ cm}^{-1}$  ( $A = 0.005$ ) [22]. The major aluminum product absorbs at  $2150.9/2144.0\text{ cm}^{-1}$ ,  $1391.9/1386.0\text{ cm}^{-1}$ , and  $509.7\text{ cm}^{-1}$  (not shown) with  $A = 0.036$ ,  $0.006$ , and  $0.004$ , respectively. Another product has bands at  $1501.6$  and  $956.7\text{ cm}^{-1}$ , another at  $777.9/770.3\text{ cm}^{-1}$ , and different products absorb at  $656.9$  and  $650.7\text{ cm}^{-1}$ . Finally, an experiment with the lowest laser energy used here markedly reduced the  $956.7$  and  $779.9/770.3\text{ cm}^{-1}$  bands relative to other products.

Similar experiments were done with  $^{15}\text{N}_2$ , and the shifted bands are reported in Table 1. Analogous investigations with  $^{14}\text{N}_2 + ^{15}\text{N}_2$  and  $^{14}\text{N}_2 + ^{14}\text{N}^{15}\text{N} + ^{15}\text{N}_2$  mixed isotopic samples gave impor-

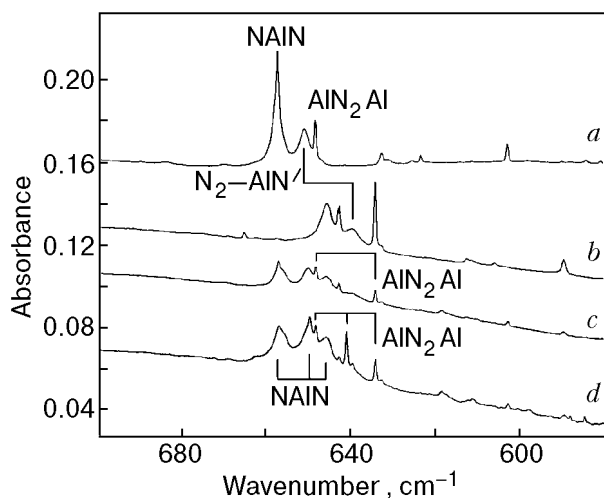


Fig. 3. Infrared spectra in the 700–580  $\text{cm}^{-1}$  region for same pure nitrogen isotopic samples as in Fig. 1.

Table 1

Infrared absorptions ( $\text{cm}^{-1}$ ) for laser-ablated Al atom reactions with pure  $\text{N}_2$  during condensation at 10 K

$^{14}\text{N}_2$	$^{15}\text{N}_2$	$^{14}\text{N}_2 + ^{14}\text{N}^{15}\text{N} + ^{15}\text{N}_2$	$^{14}\text{N}_2 / ^{15}\text{N}_2$	Identification
2327.6	2250.3	2327.6, 2289.6, 2250.3	1.03435	$\text{N}_2$
2178.4	2106.5		1.03413	$(\text{N}_2\text{-AlNNN})$
2172.3	2100.6		1.03413	$(\text{N}_2\text{-AlNNN})$
2167.9	2096.4	weak intermediate bands	1.03411	$(\text{N}_2\text{-AlNNN})$
2150.9	2080.1		1.03404	AlNNN site
2144.0	2073.2	2144.0, 2138.7, 2125.1, 2119.4, 2098.8, 2093.4, 2079.5, 2073.4	1.03415	AlNNN
2077.8	2009.6		1.03394	$\text{X-N}_3^-$
2003.3	1837.5	2003.2, 1992.7, 1981.7, 1959.4, 1948.7, 1937.4	1.03396	$\text{N}_3^-$
1874.7	1841.8		1.01786	NO
1657.7	1603.5	1657.7, 1649.3, 1640.0, 1621.5, 1613.0, 1603.5	1.03380	$\text{N}_3$
1501.6	1474.9	1501.6, 1474.9	1.01810	AlAl
1391.9	1345.4		1.03456	AlNNN site
1386.0	1340.2	weak intermediate bands	1.03417	AlNNN
1132.8	1106.0		1.02423	$\text{Al}_x\text{N}_y$
1126.8	1100.4		1.02399	$\text{Al}_x\text{N}_y$
1061.9	1040.7		1.02037	$\text{Al}_x\text{N}_y$
1054.3	1033.4		1.02022	$\text{Al}_x\text{N}_y$
956.7	931.7	956.7, 931.7	1.02683	AlAl
923.2	899.4	923.2, 909.2, 899.4	1.02646	$(\text{Al}_2\text{N})_2$
777.9	758.6	777.9, 758.6	1.02544	$\text{Al}_3\text{N}$
770.3	751.2	770.3, 751.2	1.02540	$\text{Al}_3\text{N}$
680.0	664.9	680.0, 773.0, 664.9	1.02271	$\text{Al}_x\text{N}_y - (\text{N}_2)_x$
656.9	645.4	656.9, 649.5, 645.4	1.01782	NAlN
650.7	636.5	650.7, 636.5	1.02231	$\text{N}_2\text{-AlN}$
648.2	634.0	648.2, 640.8, 634.0	1.02240	$\text{Al}_2\text{N}_2$
632.7	612.3	632.7, 618.2, 612.3	1.03332	$\text{Al}_x\text{N}_y$
623.6	605.8	624, 610, 606	1.02872	$\text{Al}_x\text{N}_y$
602.6	589.4	602.6, 589.4	1.02240	$\text{Al}_x\text{N}_y$
509.7	501.5		1.01635	AlNNN
486.7	472.5	486.7, 472.5	1.03005	$\text{Al}_x\text{N}_y$

tant diagnostic multiplets. Isotopic spectra are compared in Figs. 1–3 and the multiplet absorptions are listed in Table 1.

*Calculations.* Langhoff et al. have performed CASSCF and MRCI calculations on the  $^3\Pi$  ground

state of AlN and found  $744\text{ cm}^{-1}$  and  $1.816\text{ \AA}$ , and  $746\text{ cm}^{-1}$  and  $1.813\text{ \AA}$  harmonic frequency and bond length, respectively [7]. Our B3LYP/6-311+G\* calculation gave  $730\text{ cm}^{-1}$  and  $1.805\text{ \AA}$  for the AlN ground state, which are in very good agreement and

Calculated (B3LYP/6-311+G\*) geometry, frequencies (cm<sup>-1</sup>) and intensities (km/mol) for the AlN, Al<sub>2</sub>N, Al<sub>3</sub>N, NAIN, NAINN, AlNNN, and Al<sub>2</sub>N<sub>2</sub> molecules

Molecule	Geometry	Frequencies (Intensities)
AlN ( <sup>3</sup> Π)	Al-N: 1.805 Å	730.1 (12) 713.9 (12) <sup>a</sup>
Al <sub>2</sub> N ( <sup>2</sup> Σ <sub>u</sub> <sup>+</sup> )	Al-N: 1.731 Å Linear	131.8 (35), 524.9 (0), 1051.4 (97) 128.3 (33), 524.9 (0), 1023.3 (92) <sup>a</sup>
Al <sub>2</sub> N ( <sup>2</sup> Σ <sub>u</sub> <sup>+</sup> )	Al-N: 1.748 Å Linear	77.7 (2×15), 509.2 (0), 1004.3 (72) <sup>b</sup> 75.6, 509.2, 977.4 <sup>a,b</sup>
Al <sub>2</sub> N <sup>-</sup> ( <sup>1</sup> Σ <sub>g</sub> <sup>+</sup> )	Al-N: 1.746 Å Linear	119.0 (2×2), 518.7 (0), 1129.8 (685) 115.8 (1×2), 518.7 (0), 1099.5 (643) <sup>a</sup>
Al <sub>3</sub> N ( <sup>1</sup> A <sub>1</sub> ) C <sub>2v</sub>	Al <sub>1</sub> N: 1.8495 Å Al <sub>2,3</sub> N: 1.850 Å ∠ Al <sub>2</sub> -N-Al <sub>3</sub> : 120.1°	153.8 (3), 154.3 (3), 217.0 (0), 427.5 (0), 751.5 (328), 751.9 (329) 153.2 (3), 153.8 (3), 210.8 (0), 427.5 (0), 732.4 (312), 732.8 (314) <sup>a</sup>
Al <sub>3</sub> N ( <sup>1</sup> A <sub>1</sub> ') D <sub>3h</sub>	Al-N: 1.863 Å	141.1 (3×2), 200.6 (0.1), 414.3 (0), 731.9 (309×2) <sup>c</sup>
NAIN ( <sup>4</sup> Π <sub>u</sub> )	Al-N: 1.804 Å Linear	122.2 (43), 146.2 (86), 643.2 (0), 725.7 (582) 120.2 (41), 143.8 (83), 621.5 (0), 713.8 (563) <sup>a</sup>
NAINN ( <sup>1</sup> A')	N-Al: 1.746 Å Al-N: 2.021 Å N-N: 1.106 Å N-Al-N: 87.1° Al-N-N: 177.7°	89.8 (47), 230.6 (4), 259.0 (17), 326.4 (12), 840.1 (21), 229.6 (381)
AlNNN ( <sup>1</sup> Σ <sup>+</sup> )	Al-N: 1.826 Å N-N: 1.206 Å N-N: 1.138 Å	94.4 (2), 497.4 (167), 625.4 (17), 626.4 (18), 1466.0 (320), 2271.2 (1018), 91.6 (2), 490.8 (162), 604.2 (16), 605.2 (17), 1416.9 (301), 2194.4 (950) <sup>a</sup>
N <sub>3</sub> <sup>-</sup> ( <sup>1</sup> Σ <sub>g</sub> <sup>+</sup> )	1.1832 Å	628.2 (7×2), 1351.8 (0), 2078.5 (1241)
AlN <sub>2</sub> Al ( <sup>3</sup> Σ <sub>g</sub> <sup>-</sup> )	Al-N: 1.860 Å N-N: 1.204 Å	82.2 (2), 257.7 (0), 369.2 (0), 634.3 (579), 1739.4 (0)
AlN <sub>2</sub> Al ( <sup>3</sup> Σ <sub>g</sub> <sup>-</sup> )	Al-N: 1.888 Å N-N: 1.204 Å	78.4 (1×2), 224.9 (0×2), 355.9 (0), 612.0 (509), 1757.1 (0) <sup>b</sup> 76.7 [π <sub>u</sub> ], 217.5 [π <sub>g</sub> ], 355.9 [π <sub>g</sub> ], 598.5 [σ <sub>u</sub> ], 1697.8 [σ <sub>g</sub> ] <sup>a,b</sup>
(AlN) <sub>2</sub> ( <sup>1</sup> A <sub>g</sub> )	Al-N: 2.041 Å N-N: 1.267 Å	160.4 (0.2), 195.3 (21), 337.0 (0), 554.2 (0), 571.5 (509), 1445.9 (0) 156.9 (0.2), 191.0 (20), 337.0 (0), 536.5 (0), 558.9 (487), 1396.8 (0) <sup>a</sup>
(AlN) <sub>2</sub> ( <sup>1</sup> A <sub>g</sub> )	Al-N: 2.074 Å N-N: 1.263 Å	156 (0), 193 (18), 331 (0), 553 (0), 564 (463), 1453 (0) <sup>b</sup>

<sup>a</sup>Frequencies for <sup>15</sup>N substitution, <sup>b</sup>cc-pVDZ basis set, <sup>c</sup>BP86 functional; (2×2) is to denote doubly degenerate mode of intensity 2, etc.

serve to «calibrate» the calculations reported here. The B3LYP/cc-pVDZ calculation gave 717 cm<sup>-1</sup> and 1.821 Å for AlN.

Three isomers were considered for Al<sub>2</sub>N including a triangle, AlAlN, and AlNAl. The <sup>2</sup>Σ<sub>u</sub><sup>+</sup> AlNAl species was the most stable (the C<sub>2v</sub> form is 54.5 kcal/mol higher and the C<sub>∞v</sub> structure 76.6 kcal/mol higher at the B3LYP/cc-pVDZ level). Table 2 shows that

the cc-pVDZ set gave slightly longer (0.017 Å) bonds and a 47 cm<sup>-1</sup> lower antisymmetric stretching frequency than the 6-311G\* set for Al<sub>2</sub>N. The Al<sub>2</sub>N molecule has a high computed Al-N-Al frequency near that for Al-O-Al.

Following our work with boron where NBNN was the major product species [12,13], we calculated NAINN, and found (<sup>1</sup>A') NAINN to be

Table 3

Calculated (B3LYP/cc-pVDZ) relative energies (kcal/mol) geometries, frequencies ( $\text{cm}^{-1}$ ), and intensities (km/mol) for  $\text{Al}_4\text{N}_2$  molecules

Symmetry	Energy	Lengths, angles	Frequencies (Intensities) <sup>a</sup>
$C_s$	0.0	$\text{Al}_{1,2}-\text{N}_1$ : 1.879 Å $\text{N}_1-\text{Al}_3$ : 1.810 Å $\text{Al}_3-\text{N}_2$ : 1.693 Å $\text{N}_2-\text{Al}_4$ : 1.791 Å $\text{Al}_{1,2}-\text{N}_1-\text{Al}_3$ : 116.9° $\text{N}-\text{Al}-\text{N}$ : 179.3° $\text{Al}_3-\text{N}_2-\text{Al}_4$ : 164.3°	$a'$ : 245 (17), 355 (2), 532 (116), 814 (127), 1153 (504) $a''$ : 723 (334) 239, 353, 528, 794, 1126 <sup>b</sup> 704 <sup>b</sup>
$C_{2v}, {}^1A_1$	28.5	$\text{Al}_1-\text{N}_1$ : 2.065 Å $\text{Al}_3-\text{N}_2$ : 1.846 Å $\text{N}_1-\text{N}_2$ : 1.439 Å $\text{N}_1-\text{Al}_1-\text{N}_2$ : 40.8° $\text{N}_1-\text{N}_2-\text{Al}_3$ : 165.6° $\text{Al}_1-\text{N}_1\text{N}_2-\text{Al}_2$ : 125.1°	$a_1$ : 311 (41), 387 (3), 1067 (8) $a_2$ : 212 (0), $b_1$ : 506 (267) 308, 386, 1033 <sup>b</sup> 200, 495, 205, 648 <sup>b</sup>
$D_{\infty h}, {}^1\Sigma_g^+$	39.1	$\text{Al}_1-\text{Al}_2$ : 2.554 Å $\text{Al}_1-\text{N}_1$ : 1.690 Å $\text{N}_1-\text{Al}_3$ : 1.810 Å	$\sigma_g$ : 545 (0), 1154 (0) $\sigma_u$ : 499 (126), 1132 (803) 544, 1126, 499, 1103 <sup>b</sup>
$C_s, {}^3A'$	44.7	$\text{Al}_{1,2}-\text{N}_1$ : 1.887 Å $\text{N}_1-\text{Al}_3$ : 1.794 Å $\text{Al}_3-\text{N}_2$ : 1.700 Å $\text{N}_2-\text{Al}_4$ : 1.787 Å $\text{Al}_2-\text{N}_1-\text{Al}_3$ : 136.2° $\text{Al}_1-\text{N}_1-\text{Al}_3$ : 135.6° $\text{Al}_3-\text{N}_2-\text{Al}_4$ : 144.1°	$a'$ : 223 (30), 372 (45), 543 (45) 595 (17), 230 (883), 1086 (220) $a''$ : 243 (38) 186, 222, 369, 531, 580, 860, 1061, 238 <sup>b</sup>
$D_2, {}^3B_2$	78.6	$\text{Al}-\text{N}$ : 1.925 Å $\text{N}-\text{N}$ : 1.369 Å $\text{Al}_1-\text{NN}-\text{Al}_3$ : 69.7°	$a_1$ : 338 (0), 962 (0) $b_1$ : 217 (0), 493 (10) $b_2$ : 217 (17), 525 (59) $b_3$ : 287 (239), 603 (222) 338, 930, 211, 482 <sup>b</sup> 210, 514, 286, 588 <sup>b</sup>

<sup>a</sup> All real frequencies; only those above  $200 \text{ cm}^{-1}$  listed; <sup>b</sup>  $^{15}\text{N}^{15}\text{N}$  substituted frequencies.

73.0 kcal/mol above ( ${}^1\Sigma^+$ ) AlNNN aluminum azide presumably owing to weaker Al–N bonds. Our results for AlNNN (Table 2) are in accord with recent MP2 calculations [11]. Similar B3LYP calculations were done with NBNN and BNNN for comparison, and the boron azide is 34.0 kcal/mol higher. The BNNN stretching frequencies are  $2324 \text{ cm}^{-1}$  (742 km/mol), 1519 (536) and 979 (128). Also following our observation of NBN [12], the aluminum analog was calculated to have a  ${}^4\Pi_u$  ground state.

After finding  $\text{Al}_2\text{N}$  to be a stable radical, the saturated  $\text{Al}_3\text{N}$  molecule was computed to be very stable. The Al–N bond lengths and highest frequen-

cies (B3LYP) suggest that the molecule is essentially trigonal planar, in agreement with higher level calculations [11].

Six structures of formula  $\text{Al}_4\text{N}_2$  that might result from the dimerization of  $\text{Al}_2\text{N}$  radicals were calculated at the B3LYP/cc-pVDZ level and the results are summarized in Table 3. The two lowest energy structures are shown in Fig. 4. The global minimum has a plane of symmetry, and a strong infrared absorbing frequency calculated at  $1153 \text{ cm}^{-1}$ . The  $C_{2v}$  bridged structure is 28.5 kcal/mol higher and has lower frequencies. The linear species is 39.1 kcal/mol higher, but it has a strong fundamental calculated at  $1132 \text{ cm}^{-1}$ . The coplanar ( $C_{2v}$ )

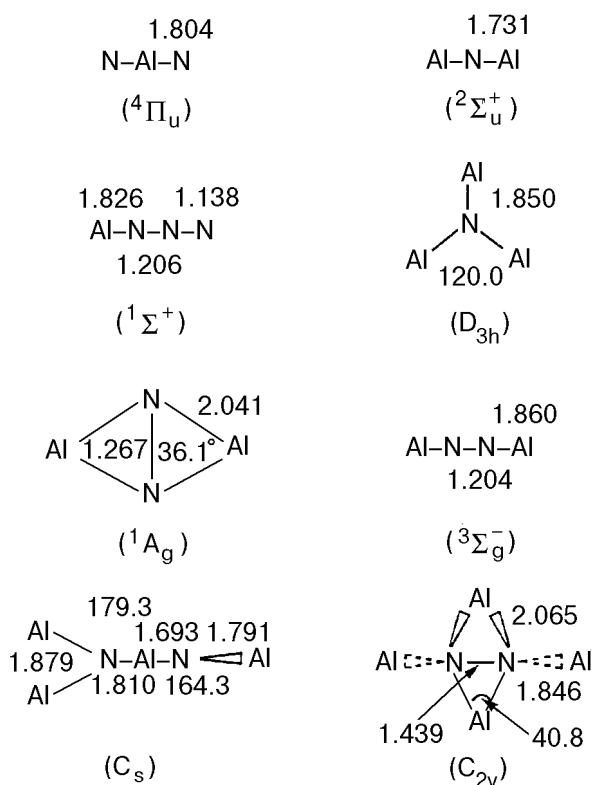


Fig. 4. Optimized geometries of  $Al_xN_y$  products computed by B3LYP/6-311+G\*. Bond lengths in Å and angles in degrees.  $Al_4N_2$  structures calculated with cc-pVDZ basis set.

version of the global minimum is 95.1 kcal/mol higher and is not listed.

Figure 4 illustrates the geometries of important  $Al_xN_y$  species.

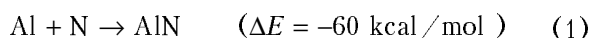
### Discussion

The new product absorptions will be identified from isotopic shifts, splitting patterns, and density functional isotopic frequency calculations.

*AlN*. The first product to be considered is AlN; the gas phase fundamental is 746.8  $cm^{-1}$  and theoretical calculations sustain this assignment [5–7]. Although the 670–760  $cm^{-1}$  region contains no significant absorption, a 650.7  $cm^{-1}$  band grows slightly on annealing and almost disappears on photolysis. This band shows a 14/15 isotopic frequency ratio of 1.02231, which is just below the harmonic Al–N diatomic ratio of 1.02264. Furthermore, the 650.7  $cm^{-1}$  band exhibits a doublet in mixed isotopic spectra providing evidence for a single N atom vibration. The gas phase-to-nitrogen-matrix shift is excessive for an isolated molecule so we believe a better assignment for the 650.7  $cm^{-1}$  band is to a perturbed AlN molecule. We note that AlN is a  $^3\Pi$  state and that both NAlNN and AlN<sub>3</sub> are singlet states. Although AlNNN (see below) in-

creases on annealing, there is no evidence for the higher energy NAlNN isomer. Perhaps the perturbation is at the metal center, i.e. NN–AlN.

The AlN molecule is prepared here by direct reaction (1) between the atoms, which is



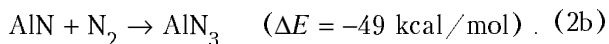
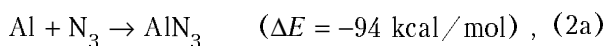
calculated (B3LYP without ZPE) to be exothermic. The presence of N atoms in these experiments is confirmed by observation of the N<sub>3</sub> radical [12,21].

*AlN<sub>3</sub>*. The major aluminum product in solid nitrogen absorbs at 2150.9/2144.0  $cm^{-1}$ , 1391.9/1386.0  $cm^{-1}$ , and 509.7  $cm^{-1}$ . These bands increase 10% on 25 K annealing, almost disappear on 240–580 nm photolysis, reproduce in part on subsequent 30 K annealing, and increase more on 35 K annealing. The observed intensities are 0.0379/0.0074/0.0061 a.u. after 25 K annealing. The diagnostic mixed isotopic pattern for the strong higher frequency band is a sextet with  $^{14}N_2 + ^{15}N_2$  and an octet with  $^{14}N_2 + ^{14}N^{15}N + ^{15}N_2$  (Fig. 1, arrows mark positions of Al-15-14-15 and Al-14-15-14 isotopic bands unique to the statistically mixed isotopic experiment). These sextet and octet patterns are much clearer for the GaNNN species where annealing increases one matrix site and removes the other [10]; nevertheless these multiplets identify the vibration of three inequivalent nitrogen atoms.

Since this band is in the azide stretching region, AlN<sub>3</sub> was investigated by DFT and found to be a stable  $^1\Sigma^+$  molecule (Table 2). The three strong stretching fundamentals are calculated at 2271.2, 1466.0, and 497.4  $cm^{-1}$  with 6/2/1 relative intensities. Scale factors of 0.947 and 0.949 are required to fit the upper two N–N stretching modes and 1.025 to fit the lower Al–N stretching mode. The same calculation predicted the strong N<sub>3</sub><sup>−</sup> mode at 2078.5  $cm^{-1}$ , which requires a 0.964 scale factor and is appropriate for the B3LYP functional [23]. The relative intensities are also modeled reasonably well by the B3LYP functional except the intensity of the weaker symmetric N–N–N stretching mode is overestimated relative to the stronger antisymmetric N–N–N stretching mode. The calculated and observed 14/15 isotopic ratios match and verify the normal mode assignments. Similar agreement between experiment and theory was found for GaN<sub>3</sub> [10]. The gas phase observation of CaN<sub>3</sub> and SrN<sub>3</sub>, our matrix formation of the MN<sub>3</sub> (M = Al, Ga, In, Tl) molecules, and the synthesis of Al(N<sub>3</sub>)<sub>3</sub> show that these azides are physically stable molecules [10,24,25].

Two mechanisms for the formation of AlN<sub>3</sub> come to mind, the reaction of Al with N<sub>3</sub> radical, and the

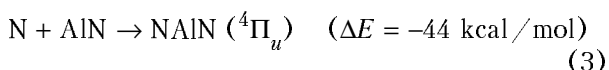
AlN reaction with  $N_2$ , the strongly exothermic (B3LYP) reactions (2a) and (2b):



Mixed  $^{14}N_2 + ^{15}N_2$  isotopic spectra can in principle distinguish between these two reactions, but complications from two matrix site absorptions reduce the accuracy of band intensity measurements. However, for  $GaN_3$ , the mixed isotopic spectra were clearly resolved for the major site absorption, and it was suggested that about 80% of the  $GaN_3$  product is formed from the  $N_3$  radical reaction [10].

*NAlN*. The strong  $656.9 \text{ cm}^{-1}$  band also increased on annealing and decreased markedly on photolysis. This band showed a small  $^{14}N_2/^{15}N_2$  ratio (1.01782) and an approximate 1:2:1 triplet pattern with  $^{14}N_2 + ^{14}N^{15}N + ^{15}N_2$ , which is characteristic of *two equivalent* N atoms (Fig. 3). In fact the 14/15 isotopic ratio for the harmonic antisymmetric vibration of a linear N–Al–N unit is 1.01673. Our B3LYP calculations find a stable linear NAIN molecule ( $^4\Pi_u$  state) with  $\sigma_u$  frequency at  $725.7 \text{ cm}^{-1}$ , in very good agreement with the matrix observation. The slightly higher observed 14/15 ratio might suggest slight bending of the molecule in the matrix. The scale factor 0.905 required to fit the calculated and observed bands is lower than other comparisons reported here; this suggests that higher level calculations might provide a better description of the  $^4\Pi_u$  state of NAIN.

The NAIN molecule is formed by the reaction of N with the metal center in AlN, reaction (3), which is exothermic. However, NAIN is higher energy than  $Al + N_2$  by 120 kcal/mol



(B3LYP), but NAIN clearly is kinetically stable. Even Al– $N_2$  is a van der Waals molecule (bound 4 kcal/mol as estimated by B3LYP).

The analogous  $NGaN$  molecule was observed at  $586.4, 584.1 \text{ cm}^{-1}$  ( $^{69}Ga, ^{71}Ga$ ) where resolved isotopic splitting confirmed the vibration of a single gallium atom [10].

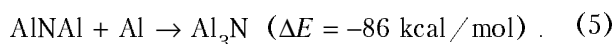
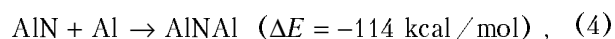
*AlNAl*. The  $956.7 \text{ cm}^{-1}$  band increases slightly on annealing but almost *doubles* on photolysis. The mixed isotopic spectra reveal a doublet absorption (Fig. 2) for the vibration of *a single* nitrogen atom and a large (1.02683) nitrogen 14/15 isotopic ratio. In fact the 14/15 isotopic ratio for the harmonic antisymmetric vibration of a linear Al–N–Al

unit is 1.02750. Our B3LYP calculation finds the most stable  $Al_2N$  species to be linear  $AlNAl$  ( $^2\Sigma_u^+$ ) with calculated  $\sigma_u$  mode at  $1051.4 \text{ cm}^{-1}$ , in very good agreement with the matrix spectrum. The scale factor 0.910 again suggests that higher level calculations might produce a better fit, and indeed the cc-pVDZ basis set (Table 2) produces a  $1004.3 \text{ cm}^{-1}$   $\sigma_u$  frequency in better agreement with the observed value (0.953 scale factor). The  $956.7 \text{ cm}^{-1}$  band is assigned to AlNAl in solid nitrogen.

The sharp  $1501.6 \text{ cm}^{-1}$  band tracks with the  $956.7 \text{ cm}^{-1}$  band on annealing and photolysis, and it too shows the doublet mixed isotopic spectrum for the vibration of *a single* nitrogen atom. Its appearance in the NO region and 14/15 isotopic ratio invited consideration of such a species but AlNO and AlON absorb elsewhere [26]. The differences  $1501.6 - 956.7 = 544.9 \text{ cm}^{-1}$  and  $1474.9 - 931.7 = 543.2 \text{ cm}^{-1}$  for the  $^{15}N$  counterpart provide a mode that shows *very little*  $^{15}N$ -shift and is near the  $524.9 \text{ cm}^{-1}$  calculated value for the  $\sigma_g$  mode of AlNAl. A slight amount of bending of the molecule would decrease the 14/15 ratio of the  $\sigma_u$  mode and increase the 14/15 ratio of the  $\sigma_g$  mode. Although the  $1501.6 \text{ cm}^{-1}$  band absorbance (0.008) is larger relative to the  $956.7 \text{ cm}^{-1}$  band (0.014) than normally found for a combination band and a fundamental, linear molecules often have relatively strong combination bands, and the  $1501.6 \text{ cm}^{-1}$  band is assigned to the  $\sigma_u + \sigma_g$  combination band for AlNAl.

Similar experiments with laser-ablated Al atoms and 4%  $N_2$  in argon reveal weak  $981.3, 974.8 \text{ cm}^{-1}$  bands with the same isotopic behavior that can be assigned to AlNAl in solid argon [27]. This suggests a matrix shift for the pure nitrogen environment, and the argon matrix bands clearly fit the DFT frequency calculations better.

The sequential reactions of Al atoms with AlN are exothermic, based on our B3LYP calculations:



*Al<sub>3</sub>N*. Two sharp bands at  $777.9, 770.3 \text{ cm}^{-1}$  also increased slightly on annealing, but markedly on photolysis, more on subsequent annealing, and remained strong after 35 K annealing in contrast to AlNAl, which almost disappeared. Only the same pure isotopic bands were observed in mixed isotopic experiments so again *a single* nitrogen atom is involved. These bands exhibited virtually the same isotopic 14/15 ratio,  $1.02542 \pm 0.00002$ , which is

slightly smaller than the above 956.7/931.7 ratio for AlNAl. Hence, the 777.9, 770.3  $\text{cm}^{-1}$  bands are also due to an antisymmetric Al–N–Al stretching mode, with a slightly smaller included angle, and the product may involve further Al reaction with AlNAl.

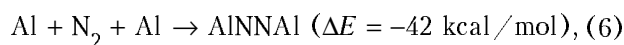
Our B3LYP and BP86 and recent higher level calculations [11] show that  $\text{Al}_3\text{N}$  is stable, and the strong  $e'$  mode predicted at 751.7  $\text{cm}^{-1}$  with 14/15 ratio 1.02606 is extremely close to the observed value. The 777.9, 770.3  $\text{cm}^{-1}$  bands are assigned to the  $e'$  mode of  $\text{Al}_3\text{N}$  split by interaction with the nitrogen matrix.

The major product in argon matrix experiments [27] at 773.1  $\text{cm}^{-1}$  increases and remains on annealing to 40 K. The sharp doublet with mixed isotopic precursor and the 1.02547 isotopic frequency ratio show that this is the same  $\text{Al}_3\text{N}$  species observed in the nitrogen matrix. Finally, the observation of a single band for the  $e'$  mode of  $\text{Al}_3\text{N}$  in solid argon shows that the splitting in the nitrogen matrix is environmental and suggests that the split mode in the B3LYP calculation is due to symmetry breaking inherent in the calculation [28]. The  $D_{3h}$  symmetry of the molecule maintained with the pure density functional BP86 (Table 2) is also expected in the gas phase.

$\text{Al}_3\text{N}$ . The anomalously low electron affinity recently measured for  $\text{Al}_3\text{N}$  is characteristic of a closed-shell molecule [29], which is calculated to be very stable [30]; these observations are in accord with the matrix infrared spectrum and behavior reported here for  $\text{Al}_3\text{N}$ .

$\text{Al}_2\text{N}_2$ . The most stable  $\text{Al}_2\text{N}_2$  isomer is the ( ${}^1A_1'$ ) rhombic ring [11], and this characteristic (AlN) $_2$  structure exhibits diatomic isotopic ratios. However, the linear AlNNAI molecule is only 1.8 kcal/mol higher at the B3LYP level with both basis sets, and the  $\sigma_u$  mode also exhibits the diatomic isotopic ratio. The sharp 648.2  $\text{cm}^{-1}$  band meets these requirements: a 1.02240 isotopic ratio and a triplet with  ${}^{14}\text{N}_2 + {}^{14}\text{N}{}^{15}\text{N} + {}^{15}\text{N}_2$  for *two equivalent* nitrogen atoms. The  $b_{1u}$  mode of the singlet rhombus is predicted at 571.5  $\text{cm}^{-1}$  whereas the  $\sigma_u$  mode of triplet AlNNAI is 634.3  $\text{cm}^{-1}$  (Table 2). Clearly, the latter fits the 648.2  $\text{cm}^{-1}$  band more closely, and it is assigned accordingly.

Note, however, that a strong 648.2, 634.0  $\text{cm}^{-1}$  doublet is observed with  ${}^{14}\text{N}_2 + {}^{15}\text{N}_2$  indicating that a *single dinitrogen* molecule is reacting to form AlNNAI. Although Al–N $_2$  is only a van der Waals molecule, another Al atom will react to give the stable AlNNAI product,



reaction (6), which is 42 kcal/mol exothermic.

( $\text{Al}_2\text{N}$ ) $_2$ . Both nitrogen and argon matrix experiments [27] contain a weak band near 924  $\text{cm}^{-1}$  that grows on annealing and remains at the end of annealing cycles. These bands form 1:2:1 triplets in *both* mixed isotopic experiments so *two equivalent* nitrogen atoms from *different* nitrogen molecules are required. The 14/15 ratios 1.0264 also denote an antisymmetric Al–N–Al vibration of a nearly linear Al–N–Al subunit. The annealing behavior suggests a high Al/N species. The 924  $\text{cm}^{-1}$  band is tentatively assigned to an  $\text{Al}_2\text{N}$  dimer. Unfortunately, none of the  $\text{Al}_4\text{N}_2$  calculations (Table 3) fit particularly well, but this is a difficult system to calculate.

*Higher  $\text{Al}_x\text{N}_y$  absorptions.* The nitrogen matrix experiments contain bands at 1132.8, 1126.8, 1061.9 and 1054.3  $\text{cm}^{-1}$ , which increase on annealing and show 14/15 isotopic ratios near the diatomic AlN value. Unfortunately, no mixed isotopic data can be obtained from this congested spectral region. The 1132.8, 1126.8  $\text{cm}^{-1}$  bands are destroyed by photolysis while the 1061.9, 1054.3  $\text{cm}^{-1}$  bands increase and remain on final annealing. Clearly, these bands are due to higher  $\text{Al}_x\text{N}_y$  clusters. In this regard a linear AlNAlAlNAl chain has a very strong stretching mode predicted at 1132  $\text{cm}^{-1}$ , which suggests that  $\text{Al}_x\text{N}_y$  chains absorb in this region.

## Conclusions

Laser-ablated aluminum atoms react with dinitrogen on condensation at 10 K to form  $\text{N}_3$  radical and the AlN $_2$ ,  $\text{Al}_2\text{N}$ ,  $\text{Al}_2\text{N}_2$ , AlN $_3$  and  $\text{Al}_3\text{N}$  molecules, which are identified by nitrogen isotopic substitution, further reactions on annealing, and comparison with isotopic frequencies computed by density functional theory. The major AlN $_3$  product is identified from three fundamentals and a statistically mixed nitrogen isotopic octet pattern. The aluminum rich  $\text{Al}_2\text{N}$  and  $\text{Al}_3\text{N}$  species are major products on annealing to allow diffusion and further reaction of trapped species. This work provides the first experimental evidence for molecular  $\text{Al}_x\text{N}_y$  species that may be involved in ceramic film growth.

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